



OFFICE OF COMMISSIONER OF INSURANCE
COMMISSIONER OF INSURANCE • INDUSTRIAL LOAN COMMISSIONER • SAFETY FIRE COMMISSIONER • COMPTROLLER GENERAL
John W. Oxendine, Commissioner



2 Martin Luther King Jr., Dr., Suite 708, West Tower, Atlanta, GA 30334
 Phone: 404-656-2060

www.gainsurance.org

SPECIAL PROJECTS UNIT
 GID-210-EN JAN09

RISK RETENTION GROUP NOTICE AND REGISTRATION

PART A – NOTICE AND REGISTRATION

1. Name of the Risk Retention Group as it appears on its Certificate of Authority: FEIN:

2. List any other name(s) by which the Risk Retention Group is known or may be doing business as in this State or any state:
 Other Names: State:

3. The Risk Retention Group is domiciled in the state of:

State	Address
<input type="text"/>	<input type="text"/>

4. Physical address of the administrative offices of the Risk Retention Group, if different from response to Item #3 above:

State	Address
<input type="text"/>	<input type="text"/>

5. This Risk Retention Group is a corporation or other limited liability association whose primary activity consists of assuming and spreading all or any portion, of the liability exposure of its members.

6. The Risk Retention Group is organized for the primary purpose of conducting the activity described under Item #5 above.

7. The Risk Retention Group is chartered and licensed as a liability insurance company under the laws of the State of :
 and is authorized to engage in the following lines and/or classifications of insurance under the laws of its chartering State:

Lines and/or Classifications of Insurance
<input type="text"/>

8. The Risk Retention Group does not exclude a person from membership from the Group solely to provide for members of the Group a competitive advantage over such a person.

9. Ownership of the Risk Retention Group consists of one or the other of the following (check one):
 (a) The owners of the Group are the only persons who comprise the membership of the Group and who are provided insurance by the Group.
 (b) The sole owner of the Group is:
 Name:
 Address:

An organization which has as its members only persons who comprise the membership of the Group and which has as its owners only persons who comprise the membership of the Group and who are provided insurance by the Group.

10. The Risk Retention Group members are engaged in businesses or activities similar or related with respect to the liability to which such members are exposed by virtue of related, similar or common business, trade, product services, premises or operations. Give a general description of businesses or activities engaged in by the Groups members:

<input type="text"/>

11. The activities of the Risk Retention Group do not include the provision of insurance other than:
 (a) liability insurance for assuming and spreading all or any portion of the similar or related liability exposure of its Group members and;
 (b) reinsurance with respect to the similar or related liability exposure of another Risk Retention Group (or a member of such other Risk Retention Group) engaged in business or activities which qualify such other Risk Retention Group (or member) under Item #10 above for membership in this Group.



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12. (a) List the name, social security number (SS#) and address of each officer and director of the Risk Retention Group: (Attach additional pages, if necessary.)

Name	SS#	Address	RRG Position

- (b) Identify and give the telephone number of the officer or director of the Risk Retention Group who can be contacted for any information regarding the management of the insurance activities of the Group:

Name	Email Address	Phone

13. List the name, address, telephone number and Federal Employer Identification Number (FEIN) of the company responsible for managing the Insurance operations of the Risk Retention Group and the contact person at the company: (If none, answer none.)

Name	FEIN	Address	Phone

Contact Person:

14. List the name(s), SS#(s), and address(es) of the licensed insurance agent(s) or Broker(s) responsible for marketing the Risk Retention Groups insurance policies and the State(s) in which they are licensed:

Name	SS#	Address	State(s)

15. The Risk Retention Group will comply with the unfair claim settlement practices laws of this state.
 16. The Risk Retention Group will pay, on a non-discriminatory basis; applicable premium and other taxes, which are, levied such Group under the laws of this State.
 17. The Risk Retention Group has designated the Insurance Commissioner [Director, Superintendent] of this State to be its agent solely for the purpose of receiving service of legal documents or process by executing Part B of this form, attached hereto.
 18. The Risk Retention Group will submit to examination by the Insurance Commissioner [Director, Superintendent] of this State to determine the Group's financial condition, if:
 (a) The Insurance Commissioner [Director, Superintendent] of the Groups chartering State has not begun or has refused to initiate an examination of the Group; and
 (b) Any such examination by the Insurance Commissioner [Director, Superintendent] is coordinated to avoid unjustified duplication and unjustified repetition.
 19. The Risk Retention Group will comply with a lawful order issued in a delinquency proceeding commenced by the Insurance Commissioner [Director, Superintendent] of this State upon a finding of financial impairment, or in a voluntary dissolution proceeding.
 20. The Risk Retention Group will comply with the laws of the State concerning deceptive, false or fraudulent acts or practices, including any injunctions regarding such conduct obtained from a court of competent jurisdiction.
 21. The Risk Retention Group will comply with an injunction issued by a court of competent jurisdiction upon petition by the Insurance Commissioner [Director, Superintendent] of this State alleging that Group is in hazardous financial impaired.
 22. The Risk Retention Group will provide the following notice, in at least 10-point type, in any insurance policy issued by the Group:

NOTICE

Your risk retention group issues this policy. Your risk retention group may not be subject to all of the insurance laws and regulations of your State. State insurance insolvency guaranty funds are not available for your risk retention group.

23. The Risk Retention Group has submitted to the Insurance Commissioner [Director, Superintendent] as part of this filing and before it has offered any insurance in this State, a copy of the plan of operation or feasibility study which it has filed with the Insurance Commissioner [Director, Superintendent] of its chartering State. This plan or study includes the name of the State in which the Group is chartered, as well as the Group principal place of business, and plan or study further includes the coverage's, deductibles, coverage limits, rates and rating classification systems for each line of insurance the Group intends to offer. The Group will promptly submit to the Insurance Commissioner [Director, Superintendent] of this State any revisions of such plan or study to reflect any reflect any changes to the plan if the Group intends to offer any additional lines of liability insurance, including any change in the designation of the State in which it is chartered.



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- 24. The Risk Retention Group will submit a copy of its annual financial statement to its chartering state, to the Insurance Commissioner [Director, Superintendent] of this State, by March 1 of each year.
25. The Risk Retention Group will not solicit or sell insurance to any person in this State who is not eligible for membership in the Group.
26. The Risk Retention Group will not solicit or sell insurance in this State, or otherwise operate in this State, if the Group is in hazardous financial condition or its financially impaired.
27. The Risk Retention Group will not issue any insurance policy in this State, which provides coverage, prohibited generally by statute of this State or declared unlawful by the highest court of this State whose law applies to such policy.
28. The Risk Retention Group has submitted a registration fee of \$ _____ if applicable, payable to the Insurance Commissioner [Director, Superintendent] of this State.
29. The Risk Retention Group will notify the Insurance Commissioner [Director, Superintendent] as to any subsequent changes in any of the items included in this form.
30. The Risk Retention Group will notify the Insurance Commissioner [Director, Superintendent] as to any subsequent changes in any of the items included in this form.

The undersigned hereby swear and affirm that the foregoing statements and information regarding their principal, the _____ are true and correct.

Name of Risk Retention Group

By President: _____

By Secretary: _____

CORPORATE SEAL

State of: _____

County of: _____

Sworn before me this _____ day of _____, _____

Notary Public: _____

My Commission Expires _____

NOTARY SEAL



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PART B - APPOINTMENT OF ATTORNEY TO ACCEPT SERVICE AND DESIGNATION

The (the Group), a risk retention group which is chartered and licensed as a liability insurance company under the laws of the State of having notified the Insurance Commissioner [Director, Superintendent] of the State of its intention to do business in this State as a risk retention group pursuant to the federal Liability Risk Retention Act of 1986, hereby appoints the Insurance Commissioner [Director, Superintendent] of the State of any successor in office, and any authorized deputy its true and lawful attorney, in and for the State of , upon whom all legal documents or process in any proceeding against it may be served. Such service of process shall of the same legal force and validity as if served personally upon the Group. The Group designates:

Name: Address: City: State:

as its officer, agent or other person to whom shall be forwarded all legal documents or process served upon the Insurance Commissioner [Director, Superintendent] of the State of , any successors in office, or any authorized deputy, for the Group. This designation shall continue in full force and effect until superseded by a new written designation filed with the Insurance Commissioner [Director, Superintendent]. This appointment and designation is made pursuant to a resolution by the Group Governing body authorizing it and a certified copy of the resolution is attached hereto. This appointment shall be binding upon any person or corporation which as successor acquires the Group assets or assumes its liabilities, by merger or consolidation or otherwise. This appointment may be written only upon a written notice of termination and, in any event, shall not be terminated by the Group or its successor so long as any contracts or liabilities or duties arising out of contracts entered into by the Group while it was doing business in this State are in effect.

IN WITNESS OF THIS APPOINTMENT AND DESIGNATION, the Group, in accordance with the resolution of its Board of Directors duly passed on has affixed its corporate seal, and caused the same to be subscribed and attested in its name by its President and Secretary, at the City of in the State of

on ,

Name of Risk Retention Group:

By President:

By Secretary:

CORPORATE SEAL

State of:

County of:

Sworn before me this day of ,

Notary Public:

My Commission Expires

NOTARY SEAL